

496 Independent Expenditure Report for Non-Electronic Filers

CALIFORNIA
FORM

496

For use by all local candidates and committees and all state candidates and committees not required to file electronically with the Secretary of State.

Use this form to report:

- 16-Day Late Independent Expenditures Made
 - Independent expenditures that cumulatively total **\$1,000 or more** to support or oppose a single candidate or a single ballot measure during the 16 days immediately preceding the election in which the candidate or measure will be voted on must be reported as **late independent expenditures**.
 - File Form 496 within 24 hours of making the independent expenditure(s) with the filing officer(s) who would receive your campaign statements if you were a committee primarily formed to support or oppose the candidate or ballot measure.
 - File Form 496 by fax, guaranteed overnight delivery, or personal delivery. **Regular mail may not be used.** Local ordinance also may require this form to be filed electronically.
 - **Candidates:** This form is not required for expenditures supporting your election that are made from your controlled election committee, including expenditures opposing an opponent.

What is an “independent expenditure”?

An “independent expenditure” is an expenditure made in connection with a communication (e.g., a billboard, advertisement, mailing) that expressly advocates the nomination, election, or defeat of a clearly identified candidate or the qualification,

passage, or defeat of a clearly identified measure. An independent expenditure is a payment that is not made to—or at the behest of—the affected candidate or committee.

How to Complete Form 496

Report Number. Assign a unique identifying number to each Form 496 report that you file. You may use any numbering system (such as 1, 2, 3, PR-1, PR-2, PR-3).

Amendments. Assign a new unique identifying number as the Report Number, check the Amendment box, and enter the identifying number of the report you are amending. Describe the amendment in the space provided at the bottom of the form.

Part 1. Candidate or Measure. Identify the candidate or ballot measure supported or opposed. Be sure to include the candidate’s district number (if any) or the letter or number of the ballot measure. Use a separate form for each candidate or measure. (Example: A committee makes an independent expenditure by running an advertisement opposing two candidates. The ad costs \$4,000 and features the candidates equally. The committee must file a separate late independent expenditure report for each candidate and disclose \$2,000 for each candidate.)

Part 2. Independent Expenditures. Provide the date, amount and a description of the independent expenditure made (e.g., radio advertisement, billboard, mailing).

Part 3. Contributions Received. Disclose contributions of \$100 or more received since the closing date of your last campaign statement (Form

450 or 460) through the date of the independent expenditure. If no previous campaign statement has been filed, disclose contributions of \$100 or more received since January 1 of the current calendar year.

Disclose the name and address of the contributor and, if the contributor is an individual, his or her occupation and the name of his or her employer. If the contributor is self-employed, disclose the name of the business. Also disclose the date and amount of the contribution, the contributor code, and type of contribution. Enter the interest rate if the contribution is a loan.

Once you have disclosed a contribution on this form (Form 496), it is not necessary to report that contribution on any additional Form 496 filings you make.

NOTE: Late independent expenditures made and contributions received must also be reported on the next regular campaign statement you file. In addition, a Supplemental Independent Expenditure Report (Form 465) must be filed.

This form was prepared by the Fair Political Practices Commission (FPPC). For detailed information on campaign reporting requirements and the Information Practices Act of 1977, see the FPPC campaign disclosure manual for your type of committee (available from your filing officer or the FPPC). Campaign filing deadlines, forms, and other informational materials are available on the FPPC website (www.fppc.ca.gov).

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Type or print in ink.
Amounts may be rounded to whole dollars.

496 INDEPENDENT EXPENDITURE REPORT

NAME OF FILER		Date of This Filing _____ Report No. _____ <input type="checkbox"/> Amendment to Report No. _____ (explain below) No. of Pages _____	Date Stamp	CALIFORNIA FORM 496 For Official Use Only
AREA CODE/PHONE NUMBER	I.D. NUMBER (if applicable)			
STREET ADDRESS				
CITY	STATE ZIP CODE			

1. List Only One Candidate or Ballot Measure

NAME OF CANDIDATE SUPPORTED OR OPPOSED				NAME OF BALLOT MEASURE SUPPORTED OR OPPOSED			
OFFICE SOUGHT OR HELD	DISTRICT NO.	SUPPORT	OPPOSE	BALLOT NO./LETTER	JURISDICTION	SUPPORT	OPPOSE

2. Independent Expenditures Made Attach additional information on appropriately labeled continuation sheets.

DATE	DESCRIPTION OF EXPENDITURE	AMOUNT

Reason for Amendment: _____

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NAME OF FILER

I.D. NUMBER (If applicable)

3. Contributions of \$100 or More Received *

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE **	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED	INTEREST RATES
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC			If loan, enter interest rate, if any _____%

*Major donor and independent expenditure committees that do not receive contributions are not required to complete Part 3.

**Contributor Codes

IND – Individual
 COM – Recipient Committee (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee